



INVESTMENT APPLICATION FORM – COMPANIES AND ORGANIZATIONS

COMPANY/ORGANIZATION DETAILS

Name of Company/Organization:

Date of Registration/Incorporation: Date of Business Commencement:

Nature of Business/Activity:

Name(s) of Proprietor/Major shareholders:

..... Tel:

| Name of Director | Address | Tel |
|------------------|---------|-------|
| | | |
| | | |
| | | |

Business Location Address:

Postal Address:

Telephone No.: E – Mail Address:

Web Address:

SOURCE OF INVESTMENT

- Business Income
 Sale of Property
 Gift
 Others (please specify):

Contact Details of Key Personnel:

| Name | Job Title | Tel. | E - Mail. |
|-------|-----------|-------|-----------|
| | | | |
| | | | |
| | | | |

Investment Operation Mandate:

| Name | Job Title | Signature | Tel No. |
|-------|-----------|-----------|---------|
| | | | |
| | | | |
| | | | |

Mandate;

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INVESTMENT DETAILS

Amount: GHC Amount in Words:
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Tenure: 91-Days 182 – Days 1-Year

Disposal Instructions: At maturity please:

- Rollover Interest and Principal
- Rollover Principal Only
- Redeem

DECLARATION

I/We certify that the information provided above is true. I/We further authorize Profin (Ghana) Limited to conduct any background checks on me in accordance with Profin (Ghana) Limited’s normal procedures in connection with this application.

Name:

Signature: Date:

FOR OFFICE USE ONLY

KYC – CUSTOMER PROFILE

Date: Branch: A/c No:

Name of client:

Who introduced client to Profin:

ADDITIONAL INFORMATION –

Type of funds for investment: Cash Cheque TT / Inward Remittance

Client Profile: Provide a snapshot of customer, source of funds, expected account activity, background etc.

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.....

Account Officer: Signature: Date:

ANTI- MONEY LAUNDERING RISK MANAGEMENT WORKSHEET

1. Is the customer’s core business activity one of the defined “High Risk Business” and if so, which?
 - No
 - Yes. Management concurs with the “High Risk” assessment and opening the account subject to High Risk Account Monitoring.
 - Yes. Management judgementally assesses the account as “Low Risk” (provide justification below)
2. Is the customer located in a “High Risk” geography and/or does the customer deal principally with customer or suppliers who are located in such geographies?
 - No
 - Yes (list which countries)
3. Is the customer
 - A public figure?
 - Expected to handle business activity on the account
 - Expected to use High Risk products?

If any 1-3 is “High Risk”, the classification should be “High Risk”. If judgementally classified “Low Risk”, then explain.

Justification/ Comments

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Classification: High Risk
Low risk

Account Officer: Signature: Date:

REVIEWED BY:

AMLRO: Signature: Date: